



SCHOOL OF MATHEMATICS AND STATISTICS

Spring Semester 2011–2012

MAS436: Functional Analysis

2 hours 30 minutes

Answer **four** questions. If you answer more than four questions, only your best four will be counted.

Throughout this paper, unless otherwise stated, all normed vector spaces and Hilbert spaces are either over the field of real numbers, \mathbb{R} , or the field of complex numbers, \mathbb{C}

1 (i) Let $\mathbb{D} = \{z \in \mathbb{C} \mid |z| \leq 1\}$. Let $C(\mathbb{D})$ be the vector space of continuous functions $f: \mathbb{D} \rightarrow \mathbb{C}$. Prove that we have a norm on $C(\mathbb{D})$ defined by the formula

$$\|f\| = \sup\{|f(z)| \mid z \in \mathbb{D}\}$$

and that $C(\mathbb{D})$ is complete with respect to this norm.

(14 marks)

(ii) State the Stone-Weierstrass theorem.

(3 marks)

(iii) Which of the following are dense subsets of $C(\mathbb{D})$? Justify your answer fully.

(a) The set of all complex polynomial functions $p: \mathbb{D} \rightarrow \mathbb{C}$.

(b) The set of all complex polynomial functions $p: \mathbb{D} \rightarrow \mathbb{C}$ of even degree.

(c) The set of all continuous functions $f: \mathbb{D} \rightarrow \mathbb{C}$ that extend to holomorphic functions in an open set containing \mathbb{D} .

(8 marks)

2 (i) (a) Let V be a complex normed vector spaces. Say what is meant when we describe a linear map $f: V \rightarrow \mathbb{C}$ as *bounded*. (2 marks)

(b) Prove that a linear map $f: V \rightarrow \mathbb{C}$ is bounded if and only if it is continuous. (7 marks)

(c) State the Hahn-Banach theorem. (3 marks)

(ii) (a) Say what is meant when we say a map $g: \mathbb{C} \rightarrow V$ is holomorphic. (2 marks)

(b) Let $f: V \rightarrow \mathbb{C}$ be a bounded linear map, and let $g: \mathbb{C} \rightarrow V$ be holomorphic. Show that $f \circ g$ is holomorphic, If, further, $g'(z) = 0$, show that $(f \circ g)'(z) = 0$. (5 marks)

(c) Prove that if $g: \mathbb{C} \rightarrow V$ is holomorphic, with $g'(z) = 0$ for all $z \in \mathbb{C}$, then g is constant. (6 marks)

If you wish, you may use without proof the fact that if $h: \mathbb{C} \rightarrow \mathbb{C}$ is holomorphic with $h'(z) = 0$ for all $z \in \mathbb{C}$, then h is constant.

3 (i) Say what is meant by an orthonormal sequence in a Hilbert space, and what is meant by saying that an orthonormal sequence is an orthonormal basis. (4 marks)

(ii) Let H be a Hilbert space, and let (v_n) be an orthonormal sequence in H . Let $v \in H$. Suppose that

$$\|v\|^2 = \sum_{n=0}^{\infty} |\langle e_n, v \rangle|^2.$$

Prove that $v \in \overline{Span(v_n)}$. (8 marks)

[Hint: Look at the norm of $w_N = v - \sum_{n=1}^N \langle e_n, v \rangle e_n$.]

(iii) Let

$$v_0 = \left(\frac{1}{\sqrt{2}}, -\frac{1}{\sqrt{4}}, -\frac{1}{\sqrt{8}}, -\frac{1}{\sqrt{16}}, \dots \right).$$

Define a bounded linear operator $S: l^2 \rightarrow l^2$ by the formula $S(a_1, a_2, a_3, \dots) = (0, a_1, a_2, a_3, \dots)$. Let $v_n = S^n v_0$.

Let (e_n) be the standard basis for the space l^2 . Compute the sum

$$\sum_{n=0}^{\infty} |\langle e_n, v_k \rangle|^2.$$

(4 marks)

(iv) Show that the sequence (v_n) is an orthonormal basis for l^2 . (9 marks)

- 4 (i) Let H be a Hilbert space. Let $w \in H$. Show that

$$\|w\| = \sup\{|\langle v, w \rangle| \mid v \in H, \|v\| \leq 1\}.$$

(4 marks)

You may use the Cauchy-Schwarz inequality without proof.

- (ii) State the *Riesz representation theorem* for bounded linear functionals on a Hilbert space. (2 marks)

- (iii) Let $T: H \rightarrow H$ be a bounded linear map. Prove that there is a unique bounded linear map $T^*: H \rightarrow H$ such that $\langle T^*v, w \rangle = \langle v, Tw \rangle$ for all $v, w \in H$.

Show further that $\|T^*\| = \|T\|$. (8 marks)

- (iv) Let $T: H \rightarrow H$ be a bounded linear map. Show that

$$T[H]^\perp = \ker T^*.$$

(3 marks)

- (v) Define bounded linear maps $A, B: l^2 \rightarrow l^2$ by the formulae

$$A(a_1, a_2, a_3, a_4, \dots) = (0, a_1, \frac{a_2}{2}, \frac{a_3}{3}, \frac{a_4}{4}, \dots)$$

and

$$B(a_1, a_2, a_3, a_4, \dots) = (a_2, \frac{a_1}{2}, a_4, \frac{a_3}{2}, a_6, \frac{a_5}{2}, \dots)$$

respectively. Compute A^* and B^* . (8 marks)

- 5 (i) Let A be a unital complex Banach algebra, and let $x \in A$. Prove that if $\|x\| < 1$, then the element $1 - x$ is invertible. (8 marks)

- (ii) Say what is meant by the spectrum, $Spectrum(x)$ of an element $x \in A$. (2 marks)

- (iii) Let $x \in A$. Prove that $Spectrum(x)$ is compact. (8 marks)

- (iv) Let $x \in A$ be an *involution*, that is to say an element where $x^2 = x$. Show that $Spectrum(x) \subseteq \{0, 1\}$. (7 marks)

6 (i) Let $f, g \in L^1(\mathbb{R})$ be continuous functions. Show that we have a well-defined function $f * g \in L^1(\mathbb{R})$ defined by the formula

$$(f * g)(x) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(x-t)g(t)dt.$$

(6 marks)

(ii) For continuous functions $f, g \in L^1(\mathbb{R})$, show that, when taking the Fourier transform, $\widehat{f * g}(\omega) = \hat{f}(\omega)\hat{g}(\omega)$. (6 marks)

(iii) Let $\alpha > 0$. Let $f \in L^1(\mathbb{R})$ be continuous. Let $g(x) = f(\alpha x)$. Show that, when considering the Fourier transform, we have $\hat{g}(\omega) = \frac{1}{\alpha} \hat{f}\left(\frac{\omega}{\alpha}\right)$. (3 marks)

(iv) Let $\sigma > 0$. Let

$$g_{\sigma} = \frac{1}{\sigma} \exp\left(\frac{-x^2}{2\sigma^2}\right).$$

(a) Calculate the Fourier transform \hat{g}_{σ} . (6 marks)

(b) Show that for any $\sigma, \tau > 0$, we have $g_{\sigma} * g_{\tau} = g_{\sqrt{\sigma^2 + \tau^2}}$. (4 marks)

You may assume here, if desired, the Fourier inversion theorem.

End of Question Paper